

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Larry Stuart Pendell

Serial No.: Not Yet Assigned

Filed: (herewith)

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Title: INDUCTION GENERATOR SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

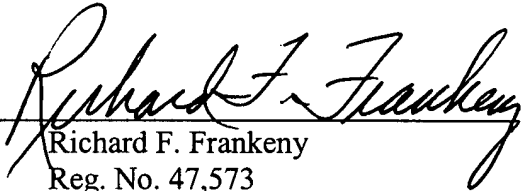
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No.: Not Yet Assigned
 Applicants: Larry Stuart Pendell
 Filing Date: (herewith)
 Group: Not Yet Assigned
 Atty. Docket No.: 23409-P001US

**LIST OF PATENTS AND PUBLICATIONS FOR
 APPLICANTS' INFORMATION DISCLOSURE
 STATEMENT**

jc828 U.S. PTO
10/056371
 01/24/02

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	4,786,853	11/22/88	VanMaaren	322	75	
____ABC	4,808,868	02/28/89	Roberts	310	68	
____ACA	4,228,391	10/14/80	Owen	322	35	
____ADA	5,039,933	08/13/91	Dong	322	47	
____AEA	4,132,932	01/02/79	Wanlass	318	795	
____AFA	4,242,628	12/30/80	Mohan et al.	322	35	
____AGA	4,959,573	09/25/90	Roberts	310	68	
____AHA	6,020,725	02/01/00	Roberts	322	90	
____AIA	4,896,063	01/23/90	Roberts	310	68	
____AJA	5,486,739	01/23/96	Roberts	315	277	
____AKA	5,424,626	06/13/95	Roberts	323	208	
____ALA	5,434,496	07/18/95	Roberts	323	208	
____AMA	4,959,573	09/25/90	Roberts	310	68	
____ANA	4,417,194	11/22/83	Curtiss et al.	322	47	
____AOA	5,598,079	01/28/97	Robert	318	780	
____APA	4,808,868	02/28/89	Roberts	310	68	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____AQA						
____ARA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

____ASA "Using Induction Motors as Generators," via Internet at <http://www.smallhydropower.com/induction.htm>, p. 1.
 ____ATA "AC Fundamentals," via Internet at <http://onlinetools.chipcenter.com/netsim/tesla/acbasics.html>, pp. 1-4.
 ____AUA "Dual Stator Winding Induction Machine Drive," *Wisconsin Alumni Research Foundation*, via Internet at <http://wiscinfo.wisc.edu/warf.boi/p98173us.html>, p. 1.
 ____AVA Arthur D. Little. "Distributed Generation: System Interfaces," pp. 1-25.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.